

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.



Patent  
Attorney Docket: 252/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
 ) **Group Art Unit: 2872**  
Domenico ORZI, et al. )  
 ) **Examiner:**  
Serial No.: 09/535,827 )  
 )  
Filed: March 24, 2000 )  
 )  
For: OPTICAL FILTER ARRANGEMENT )  
 )  
REISSUE OF PATENT NO. 5,731,898 )

CONSENT OF ASSIGNEE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

At the time the above-identified reissue application was filed, I, Domenico Orzi, was an assignee owning a 50% undivided interest in U.S. Patent No. 5,731,858. The other assignees owning an undivided interest in the patent at the time the above reissue application was filed are Guido Orzi and Roberto Lenzi.

I hereby assent to the filing of reissue application Serial No. 09/535,827, filed March 24, 2000.

LA196348.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.  
July 5, 2001  
Date of Deposit

Rick Moreno  
Name of Person Mailing Paper  
Rick Moreno  
Signature of Person Mailing Paper

Filed herewith is a certificate under 37 CFR 3.73(b).

Date: JUNE 13, 2001

  
\_\_\_\_\_  
DOMENICO ORZI

Patent  
Attorney Docket: 252/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Domenico ORZI, et al.

**Serial No.:** 09/535,827

**Filed:** March 24, 2000

**For: OPTICAL FILTER ARRANGEMENT**

REISSUE OF PATENT NO. 5,731,898

**Group Art Unit: 2872**

**Examiner:**

## CONSENT OF ASSIGNEE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

At the time the above-identified reissue application was filed, I, Guido Orzi, was an assignee owning a 35% undivided interest in U.S. Patent No. 5,731,858. The other assignees owning an undivided interest in the patent at the time the above reissue application was filed are Domenico Orzi and Roberto Lenzi.

I hereby assent to the filing of reissue application Serial No. 09/535,827, filed March 24, 2000.

LA196482.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.  
**JUN 5**  
~~June~~, 2001  
Date of Deposit

Rick Moreno  
Name of Person Mailing Paper  
Rick Moreno  
Signature of Person Mailing Paper

Filed herewith is a certificate under 37 CFR 3.73(b).

Date: ROME JUNE 26, 2001

  
\_\_\_\_\_  
GUIDO ORZI



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Domenico ORZI, et al.

**Serial No.:** 09/535,827

**Filed:** March 24, 2000

**For: OPTICAL FILTER ARRANGEMENT**

REISSUE OF PATENT NO. 5,731,898

**Group Art Unit: 2872**

**Examiner:**

## CONSENT OF ASSIGNEE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

At the time the above-identified reissue application was filed, Domenico Orzi, Guido Orzi, and Roberto Lenzi were the assignees owning an undivided interest in U.S. Patent No. 5,731,858. The written consent of all assignees and inventors owning an undivided interest in the original patent at the time of filing the present reissue application are being filed herewith.

Jax Holdings, Inc. ("Jax") of Hawthorne, California is now the assignee of the entire right, title, and interest of said Letters Patent by virtue of chain of title from the inventors of said Letters Patent. A certificate under 73 CFR 3.73 (b) is being filed herewith for Jax.

LA196919.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.

July 5, 2001  
Date of Deposit

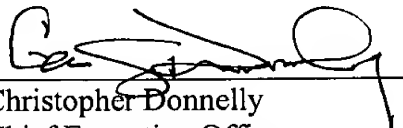
Rick Moreno  
Name of Person Mailing Paper

Pink Mercedes  
Signature of Person Mailing Paper

In accordance with the provisions of 37 CFR 1.172, the undersigned Assignee of U.S. Patent No. 5,731,858 of which it is the owner by Assignment of the entire right, title, and interest, hereby assents to filing of reissue application Serial No. 09/535,827 filed March 24, 2000, and further assents to the continued prosecution of such reissue application and to the filing of the reissue oath by the inventors of said Letters Patent.

Date: 6/29/01

JAX HOLDINGS, INC.

By   
Christopher Donnelly  
Chief Executive Officer

Patent  
Attorney Docket: 252/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Domenico ORZI, et al.

**Serial No.:** 09/535,827

**Filed:** March 24, 2000

**For: OPTICAL FILTER ARRANGEMENT**

REISSUE OF PATENT NO. 5,731,898

**Group Art Unit: 2872**

**Examiner:**

## CONSENT OF ASSIGNEE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

At the time the above-identified reissue application was filed, I, Roberto Lenzi, was an assignee owning a 15% undivided interest in U.S. Patent No. 5,731,858. The other assignees owning an undivided interest in the patent at the time the above reissue application was filed are Domenico Orzi and Guido Orzi.

I hereby assent to the filing of reissue application Serial No. 09/535,827 filed March 24, 2000.

LA196485.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.  
*July 5*  
*June*, 2001  
Date of Deposit

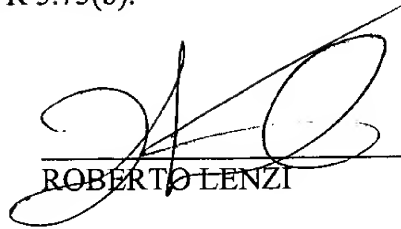
Rick Moreno  
Name of Person Mailing Paper

Rick Moreno  
Signature of Person Mailing Paper



Filed herewith is a certificate under 37 CFR 3.73(b).

Date: ROME 26/JUNE/2001

  
ROBERTO LENZI



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Domenico ORZI, et al.

**Serial No.:** 09/535,827

**Filed:** March 24, 2000

**For: OPTICAL FILTER ARRANGEMENT**

REISSUE OF PATENT NO. 5,731,898

**Group Art Unit: 2872**

**Examiner:**

## OFFER TO SURRENDER UNDER 37 CFR 1.178

Commissioner for Patents  
Washington, D.C. 20231

Sir:

United States Patent No. 5,731,858 Entitled "OPTICAL FILTER ARRANGEMENT," issued on March 24, 1998 to Domenico Orzi and Ettienne Theron as joint inventors.

Jax Holdings, Inc. ("Jax") of Hawthorne, California is now the assignee of the entire right, title and interest of said Letters Patent by virtue of a chain of title from the inventors of said Letters Patent. A certificate under 37 CFR 3.73(b) is being filed herewith for Jax.

On behalf of Jax, I offer to surrender the original Letters Patent.

I am authorized to act on behalf of the assignee.

LA196487.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.

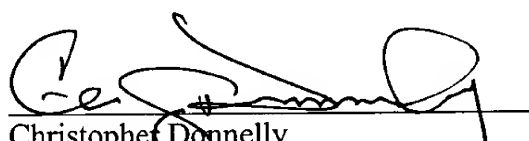
July 5, 2001  
Date of Deposit

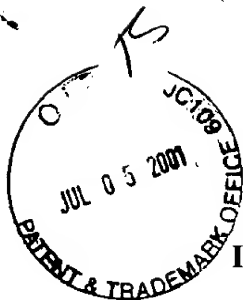
Rick Moreno  
Name of Person Mailing Paper

Rick Morris  
Signature of Person Mailing PaperL

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which the declaration is directed.

Date: 6/29/01

  
Christopher Donnelly  
Chief Executive Officer  
Jax Holdings, Inc.



07-06-01  
**BEST AVAILABLE COPY**

Patent  
Attorney Docket: 252/128

SECTOR

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Inventor: Domenico ORZI, et al.**

**Serial No.: 09/535,827**

**Filed: March 24, 2000**

**For: OPTICAL FILTER ARRANGEMENT**

**Group Art Unit: 2872**

**Examiner:**

**TRANSMITTAL OF MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

07/11/2001 AZEP3AW 00000028 09835827  
01 FC:118 1390.00 OP  
07/20/2001 TLW11 00000001 09535827  
05 FC:118 1390.00 OP

**I. DOCUMENTS ENCLOSED:**

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on January 5, 2001, enclosed are:

- ☒ Declaration signed by Domenico Orzi;  
Declaration signed by Ettienne Theron
- ☒ Power of Attorney from Jax Holdings, Inc.: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of a 15% undivided interest in the invention to Roberto Lenzi (together with PTO Form 1595)  
Assignment of the invention to Jax Holdings, Inc. (together with PTO Form 1595)

LA200253.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698966370US  
Express Mail Label No.

July 5, 2001  
Date of Deposit

Rick Moreno

Rick Moreno  
Signature of Person Mailing Paper

# BEST AVAILABLE COPY

Patent  
Attorney Docket: 252/128

- ☒ Consent of Assignee signed by Domenico Orzi  
Consent of Assignee signed by Guido Orzi  
Consent of Assignee signed by Roberto Lenzi  
Consent of Assignee signed by Jax Holdings, Inc.
- ☒ Statement Under 37 CFR 3.73(b) signed by Domenico Orzi  
Statement Under 37 CFR 3.73(b) signed by Guido Orzi  
Statement Under 37 CFR 3.73(b) signed by Roberto Lenzi  
Statement Under 37 CFR 3.73(b) signed by Jax Holdings, Inc.
- ☒ Offer to Surrender under 37 CFR 1.178
- ☒ Information Disclosure Statement under 37 CFR § 1.97(b)(3) (together with PTO Form 1449) (and copies of cited references listed therein)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input checked="" type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00
Fee		\$1,390.00

- ☒ If an additional extension of time is required, please consider this a petition therefor.

### III. FILING FEES

☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$710.00
Total Claims	26	-	20	=	6	x \$18.00 \$108.00
Independent Claims	7	-	3	=	4	x \$80.00 \$320.00
Multiple Dependent Claims	\$270	(if applicable)				<input type="checkbox"/> \$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)				<input checked="" type="checkbox"/> \$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,268.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input type="checkbox"/> \$0.00
Extension of Time (from above)						\$1,390.00
Assignment -- \$40 each (if applicable)						<input checked="" type="checkbox"/> \$80.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>						\$2,738.00

### IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$2,738.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: July 5, 2001

By: David A. Randall

David A. Randall  
Reg. No. 37,217



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600